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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Palese et al.

Serial No.: 09/070,629

Filed: April 30, 1998

For: RECOMBINANT VIRUSES  
EXPRESSING TUMOR-  
ASSOCIATED ANTIGENS AS  
ANTI-TUMOR AGENTS



Group Art Unit: 1641

Examiner: Scheiner, L.

Attorney Docket No.: 6923-071-999

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56 AND §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent and Trademark Office of all references coming to the attention of Applicants or Attorneys for Applicants which are or may be related to patentability of the claimed invention, Attorneys for Applicants hereby direct the Examiner's attention to references AA through BD which are listed on the accompanying revised PTO Form 1449. Legible copies of References AB-BD are submitted herewith. Pursuant to 37 C.F.R. § 1.98(a)(2), Applicants respectfully decline to submit a copy of cited reference AA since this reference is a U.S. provisional patent application.

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REMARKS

Identification of the above-listed references is not to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since that form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith a "revised form PTO 1449" entitled "List of References Cited".

Applicants respectfully request that the Examiner review all the references identified on the attached revised PTO Form 1449, and that they be made of record in the file history of the above-captioned application.

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits; therefore, Applicants estimate that a fee of \$240.00 is required. Please charge any required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

by *Jaqueline Ben*  
Reg No. 43,492

Date: November 24, 2000

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